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**BOX MISSING PARTS**  
PATENT  
0717-0445P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicants: Hidenori KAWANISHI et al.  
Application No.: 09/667,775 Group: Not Assigned  
Filed: September 22, 2000 Examiner: Not Assigned  
For: SEMICONDUCTOR LASER DEVICE, OPTICAL TRANSMISSION  
DEVICE, OPTICAL TRANSMISSION SYSTEM, ELECTRONIC  
DEVICE, CONTROL DEVICE CONNECTOR, COMMUNICATION  
DEVICE, AND OPTICAL TRANSMISSION METHOD AND DATA  
TRANSMISSION AND RECEPTION METHOD

**THIS IS NOT A NEW PATENT APPLICATION**

LETTER SUBMITTING DOCUMENTS  
FOR COMPLETION OF AN APPLICATION  
PURSUANT TO 37 C.F.R. § 1.53(f)

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

January 8, 2001  
(Monday)

Sir:

The application papers for the above-identified application were originally filed on September 22, 2000 and the application was assigned Application No. 09/667,775.

☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (☒ original ☐ photocopy), necessary for completing the filing requirements in connection with the above-identified application.

☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on \_\_\_\_\_, including any amendments thereto (if applicable) filed on even date therewith.

☒ The undersigned hereby declares that "Attorney Docket No. 0717-0445P on page 1 of the inventors' Declaration, filed on January 8, 2001, corresponds to Appl. No. 09/667,775, filed September 22, 2000, entitled "SEMICONDUCTOR LASER DEVICE, OPTICAL TRANSMISSION DEVICE, OPTICAL TRANSMISSION SYSTEM, ELECTRONIC DEVICE, CONTROL DEVICE CONNECTOR, COMMUNICATION DEVICE, AND OPTICAL TRANSMISSION METHOD AND DATA TRANSMISSION AND RECEPTION METHOD."

☐ Attached are \_\_\_\_\_ ( ) sheet(s) of formal drawings.

☒ Attached is a copy of the Notice to File Missing Parts of Nonprovisional Application.

The Government Filing Surcharge (37 C.F.R. § 1.16(e)) and the basic Government Filing Fee (37 C.F.R. § 1.16(a)-(d)) (if applicable) is attached hereto and calculated as follows:

☐ Filing Fee \$0.00

☒ Surcharge (☒ Large Entity - \$130.00;  
☐ Small Entity - \$ 65.00)

☐ Attached hereto is a Statement Claiming Small Entity Status (☐ original ☐ photocopy).

☒ Submitted concurrently herewith **under separate cover** for recording is an Assignment.

☒ No extension fee is required. However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

Application No. 09/667,775  
Docket No.: 0717-0445P

☐ Applicant hereby respectfully petitions for a ( ) month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

☒ A check in the amount of \$130.00 to cover the basic filing fee, surcharge fee, and any extension of time fees (if applicable) is enclosed.

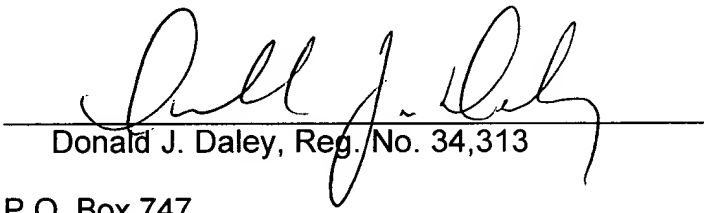
☐ Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By

  
Donald J. Daley, Reg. No. 34,313

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DJD:kna

Attachments

(Rev. 04/19/2000)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/667,775	09/22/2000	Hiddenori Kawanishi	717-445P

2292  
BIRCH STEWART KOLASCH & BIRCH  
P O BOX 747  
FALLS CHURCH, VA 22040-0747

## FORMALITIES LETTER



\*OC000000005535248\*

Date Mailed: 11/06/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202  
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